

I. Business rule modifications and data corrections pending resolution

DESCRIPTION	NET ESTIMATED IMPACT	PERIOD(S) AFFECTED	BILLING ISSUE XREF	EXPOSURE	BENEFIT	Status

II. Resolved business rule modifications and data corrections pending adjustment through the normal settlement cycle

DESCRIPTION	NET ESTIMATED IMPACT	PERIOD(S) AFFECTED	BILLING ISSUE XREF	EXPOSURE	BENEFIT	Status

III. Final Bill Challenges

DESCRIPTION	NET ESTIMATED IMPACT	PERIOD(S) AFFECTED	BILLING ISSUE XREF	EXPOSURE	BENEFIT	Status

IV. Pending Approved DAC/Disputes

DESCRIPTION	\$ AMOUNT	ALLOCATION BASIS

PENDING BUSINESS RULE DESIGN CHANGES, DATA CORRECTIONS, AND FINAL BILL CHALLENGES
AS OF 3/11/2021

V. Pending FERC/Court Activity

FERC DOCKET #	DESCRIPTION OF ISSUE	STATUS UPDATES	UPCOMING ACTIVITY
ER19-2276-000, 001, 002, 003	On June 27, 2019 NYISO 205 filing of tariff revisions regarding the establishment of a participation model for aggregations of resources, including Distributed Energy Resources (DER).	<p>On 2/24/20, NYISO filed Services Tariff proposed revisions in compliance with FERC's 1/23/20 Order accepting NYISO's proposal to amend its OATT and Services Tariff to integrate Aggregations and Distributed Energy Resources (DERs). The compliance filing is intended to:</p> <ul style="list-style-type: none"> (i) establish an effective date for tariff revisions for which the NYISO had previously not proposed an effective date; (ii) incorporate minor tariff revisions proposed by the New York Transmission Owners; and (iii) revise certain buyer-side mitigation tariff records reflecting the Commission's 12/20/19, Order in Docket No. ER19-467-000, et al. <p>On 4/21/20, FERC issued an order accepting the filing and NYISO's proposal to submit a compliance filing at least two weeks prior to the anticipated effective date for the DER participation model that will specify the date on which the revised tariff language will take effect.</p> <p>On January 23, 2020, FERC Order accepting tariff revisions and directing a compliance filing and informational report.</p> <p>On November 26, 2019, NYISO responded to the Commissioner's second deficiency letter dated October 30, 2019, answering the FERC's questions and requesting that the Commission issue an order accepting all tariff revisions proposed in the June 27, 2019 filing.(Docket No. ER18-2276-002)</p> <p>On September 18, 2019, NYISO responded to the Commissioner's deficiency letter regarding the June 27, 2019 DER filing, and resubmitted the MSE related tariff sections to request an effective date change from November 1, 2019 to May 1, 2020 (Docket No. ER19-2276-001).</p>	
IN21-4-000	<p>On February 8, 2021 FERC issued an Order approving stipulation and consent agreement between the Office of Enforcement (Enforcement) and Alliance NYGT LLC (NYGT). This order is in the public interest because it resolves on fair and equitable terms Enforcement's investigation under Part 1b of the Commission's regulations, 18 C.F.R. Part 1b (2020), into whether NYGT violated 18 C.F.R. § 35.41(a)-(b) (2020) and several provisions of the New York Independent System Operator (NYISO) Market Administration and Control Services Tariff (MST) related to its submission of offers and information to NYISO.</p>	<p>NYGT will pay a disgorgement in the amount of \$369,264.19, plus \$94,710.09 in interest, to NYISO for the purpose of restitution and compensating market participants.</p>	<p>NYGT paid a lump sum of \$100,000(including interest) to NYISO and this amount was reimbursed to the market by manual adjustment on the March 7, 2021 invoice. NYGT will pay the remaining disgorgement in equal quarterly installments of \$48,998.39, until the disgorgement (including interest) is fully paid.</p>